

System Performance and Highly Concurrent Systems

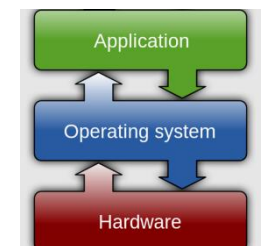
Lecture 12

Hartmut Kaiser

<https://teaching.hkaiser.org/spring2025/csc4103/>

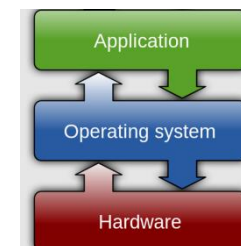
Recall: Deadlock

- Starvation vs. Deadlock
 - Starvation: Thread indefinitely unable to make progress
 - Deadlock: Thread(s) unable to make progress due to circular wait
- Four conditions for deadlock:
 - Mutual exclusion
 - Hold and wait
 - No preemption
 - Circular Wait
- Three different approaches to address deadlock:
 - Deadlock avoidance: dynamically delay resource requests so deadlock doesn't happen
 - Deadlock prevention: write your code in a way that it isn't prone to deadlock
 - Deadlock recovery: let deadlock happen, and then figure out how to recover from it
- Or deadlock denial: ignore the possibility of deadlock in applications



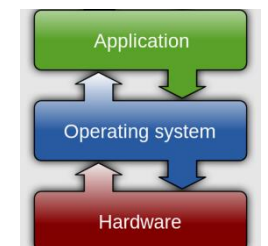
System Performance

- “Back of the Envelope” calculation and modeling
- Get the rough picture first... and don't lose sight of it

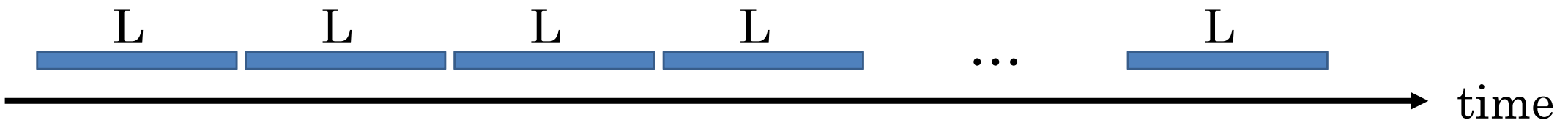


Times (s) and Rates (op/s)

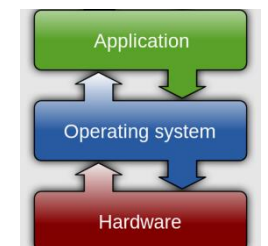
- **Latency** – time to complete a task
 - Measured in units of time (s, ms, us, ..., hours, years)
- **Response Time** - time to initiate an operation and get its response
 - Able to issue an operation that depends on the result of another
 - Know that it is done (anti-dependence, resource usage)
- **Throughput or Bandwidth** – rate at which tasks are performed
 - Measured in units of things per unit of time (op/s, FLOP/s)
- **Performance**
 - Operation time (5 mins to run a mile...)
 - Rate (mph, mpg, ...)



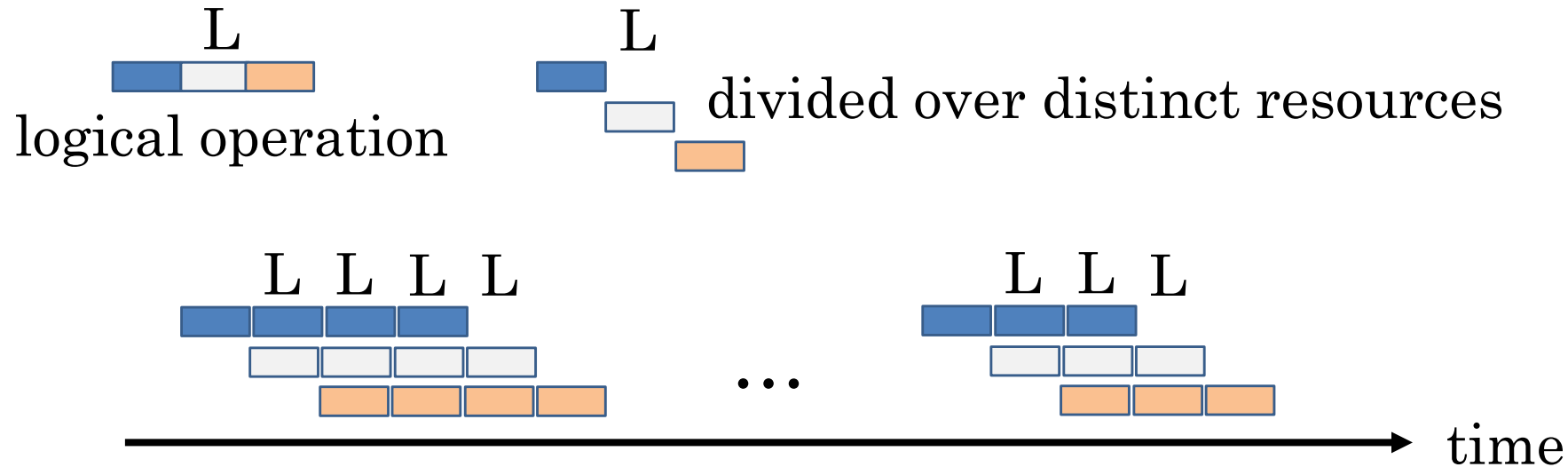
Sequential Server Performance



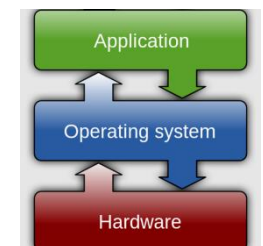
- Single sequential “server” that can deliver a task in time L operates at rate $\leq \frac{1}{L}$ (on average, in steady state, ...)
 - $L = 10 \text{ ms} \rightarrow B = 100 \text{ op/s}$
 - $L = 2 \text{ yr} \rightarrow B = 0.5 \text{ op/yr}$
- Applies to a processor, a disk drive, a person, a TA, ...



Single Pipelined Server

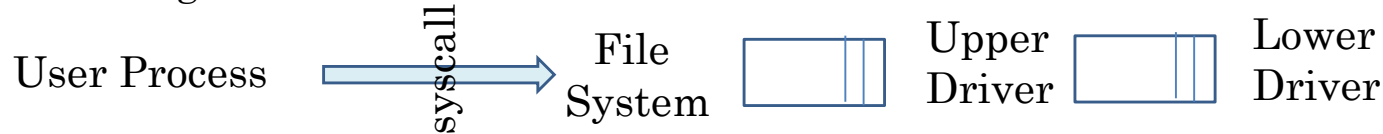


- Single pipelined server of k stages for tasks of length L (i.e., time L/k per stage) delivers at rate $\leq k/L$.
 - $L = 10 \text{ ms}$, $k = 4 \rightarrow B = 400 \text{ op/s}$
 - $L = 2 \text{ yr}$, $k = 2 \rightarrow B = 1 \text{ op/yr}$

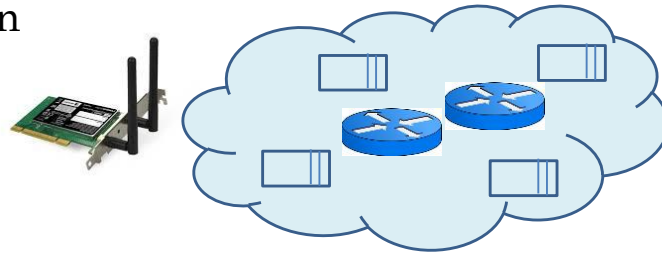


Example Systems “Pipelines”

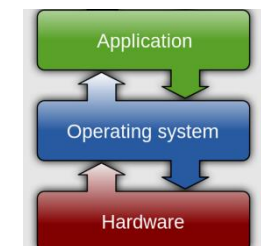
I/O Processing



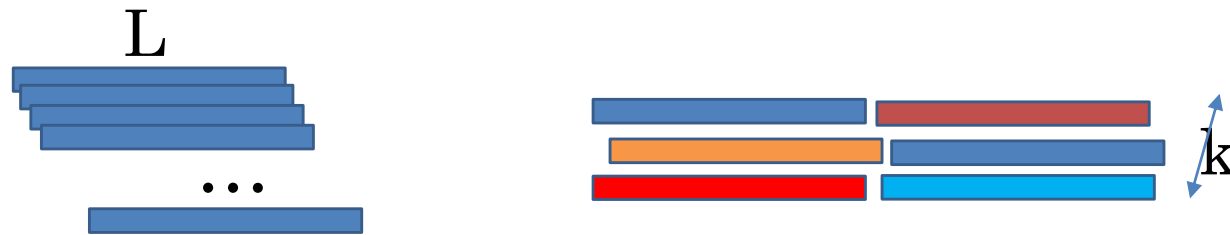
Communication



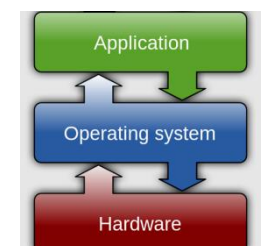
- Anything with queues between operational processes behaves roughly “pipeline like”
- Important difference is that “initiations” are decoupled from processing
 - May have to queue up a burst of operations
 - Not synchronous and deterministic



Multiple Servers

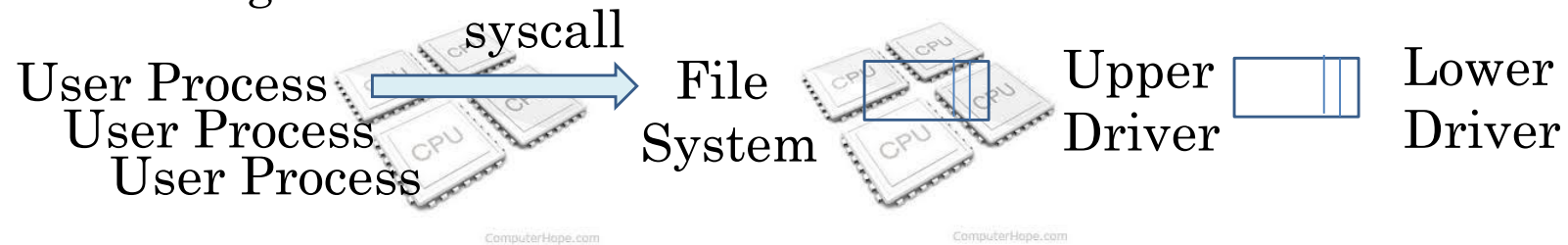


- k servers handling tasks of length L delivers at rate $\leq k/L$.
 - $L = 10 \text{ ms}$, $k = 4 \rightarrow B = 400 \text{ op/s}$
 - $L = 2 \text{ yr}$, $k = 2 \rightarrow B = 1 \text{ op/yr}$
- You have seen multiple processors (cores)
 - Systems present lots of multiple parallel servers
 - Often with lots of queues

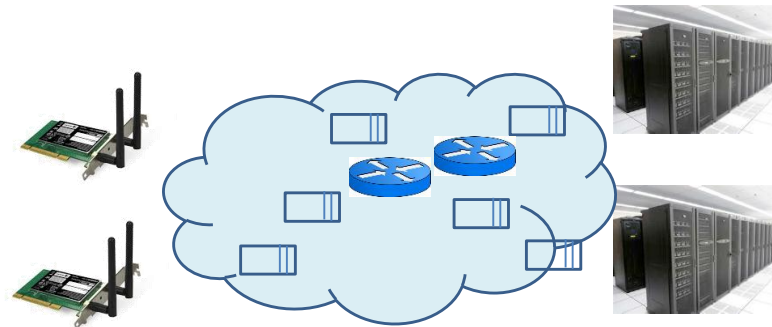


Example System “Parallelism”

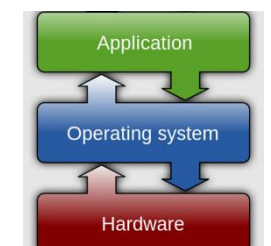
I/O Processing



Communication



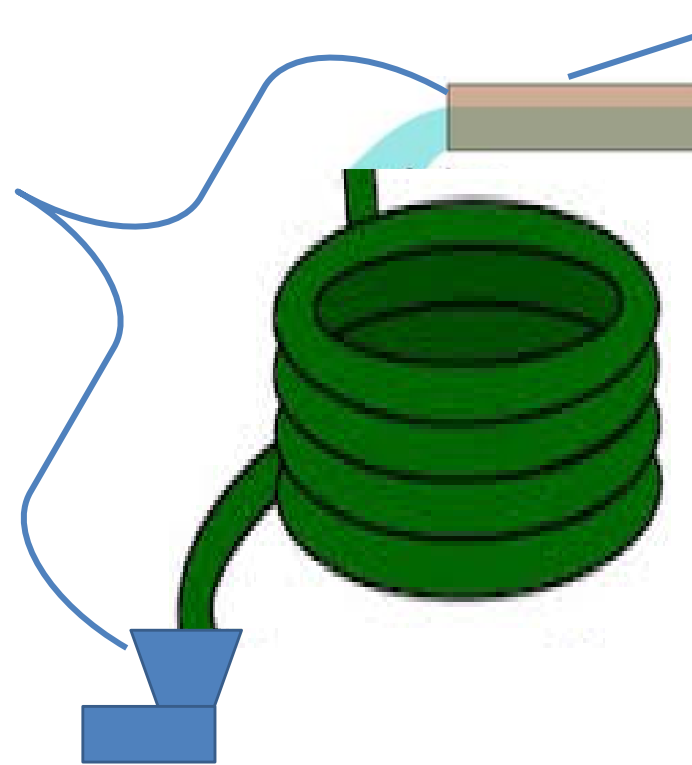
Parallel Computation, Databases, ...



A Simple System Performance Model

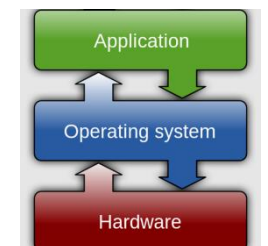
Latency (L): time per op
- How long does it take to flow through the system

“Service Time”



Bandwidth (B): Rate, Op/s
e.g., flow: gal per min

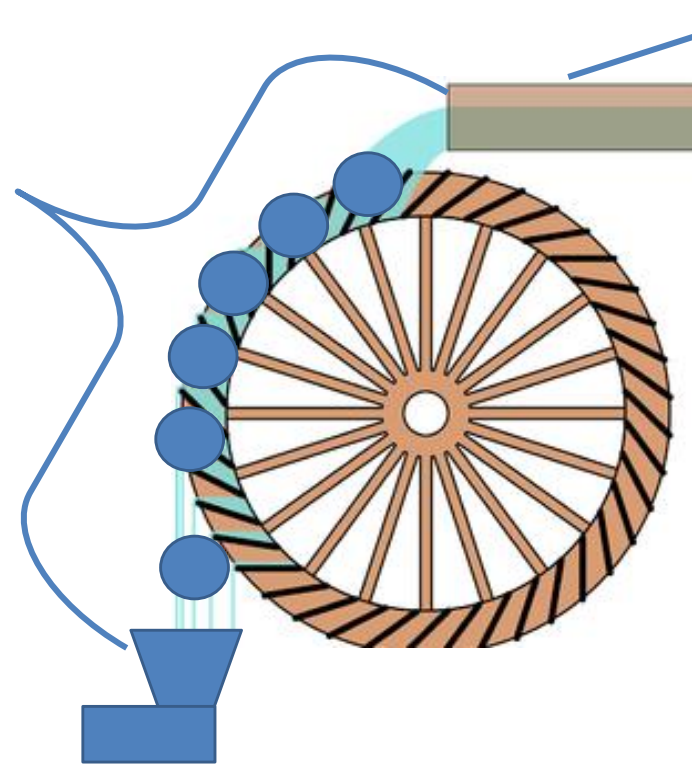
If $B = 2 \text{ gal/s}$ and $L = 3 \text{ s}$
How much water is “in the system?”



A Simple System Performance Model

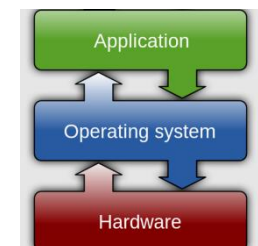
Latency (L): time per op
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“Service Time”



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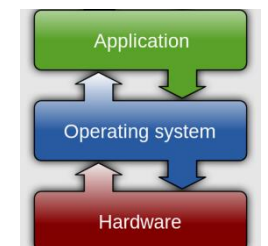


Little's Law

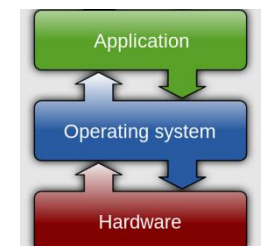
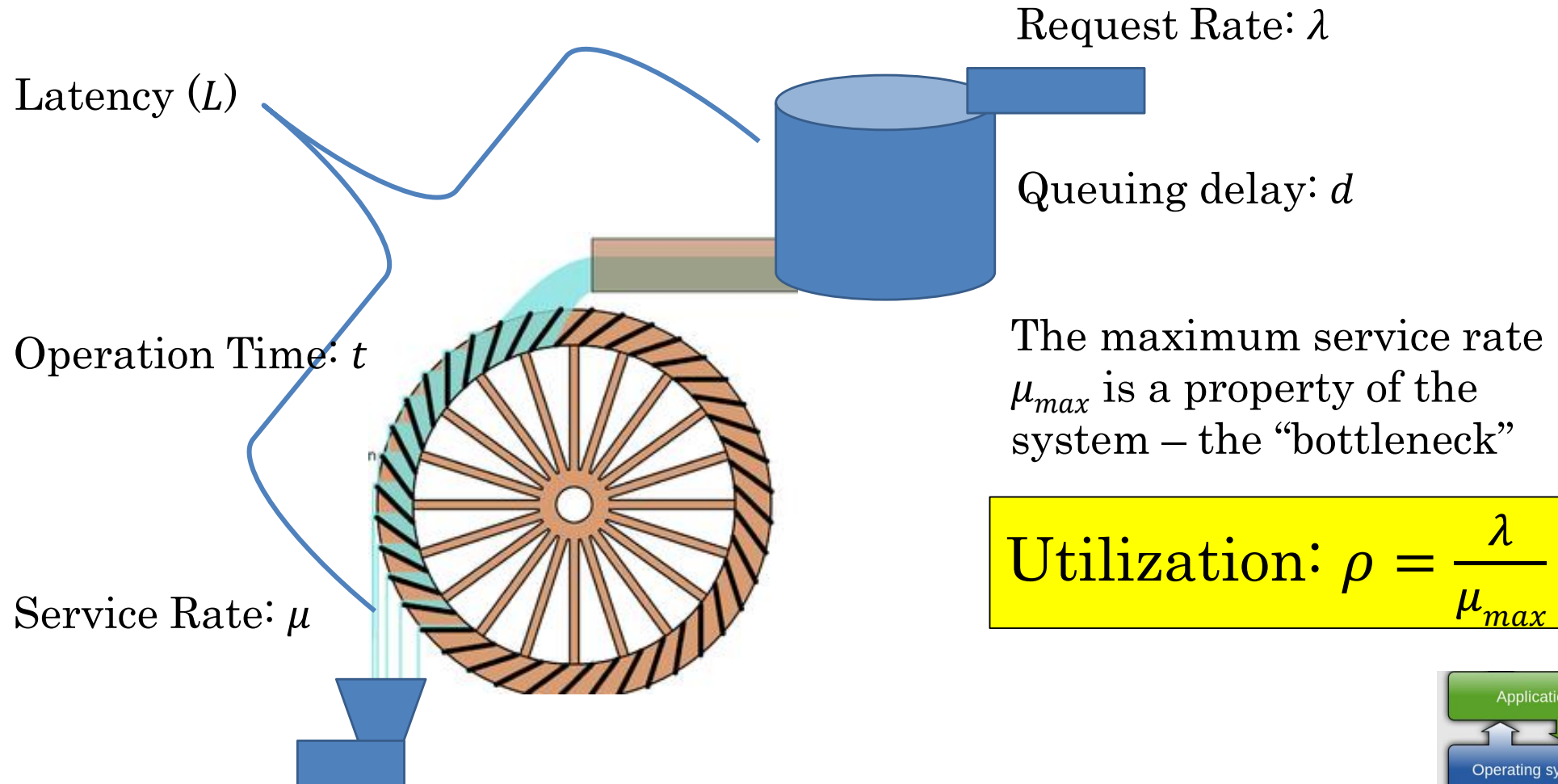
- The number of “things” in a system is equal to the bandwidth times the latency (on average)

$$n = L B$$

- Applies to any stable system (arrival rate = departure rate)
- Can be applied to an entire system:
 - Including the queues, the processing stages, parallelism, whatever
- Or to just one processing stage:
 - i.e., disk I/O subsystem, queue leading into a CPU or I/O stage, ...

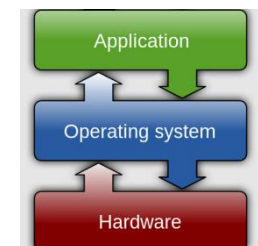
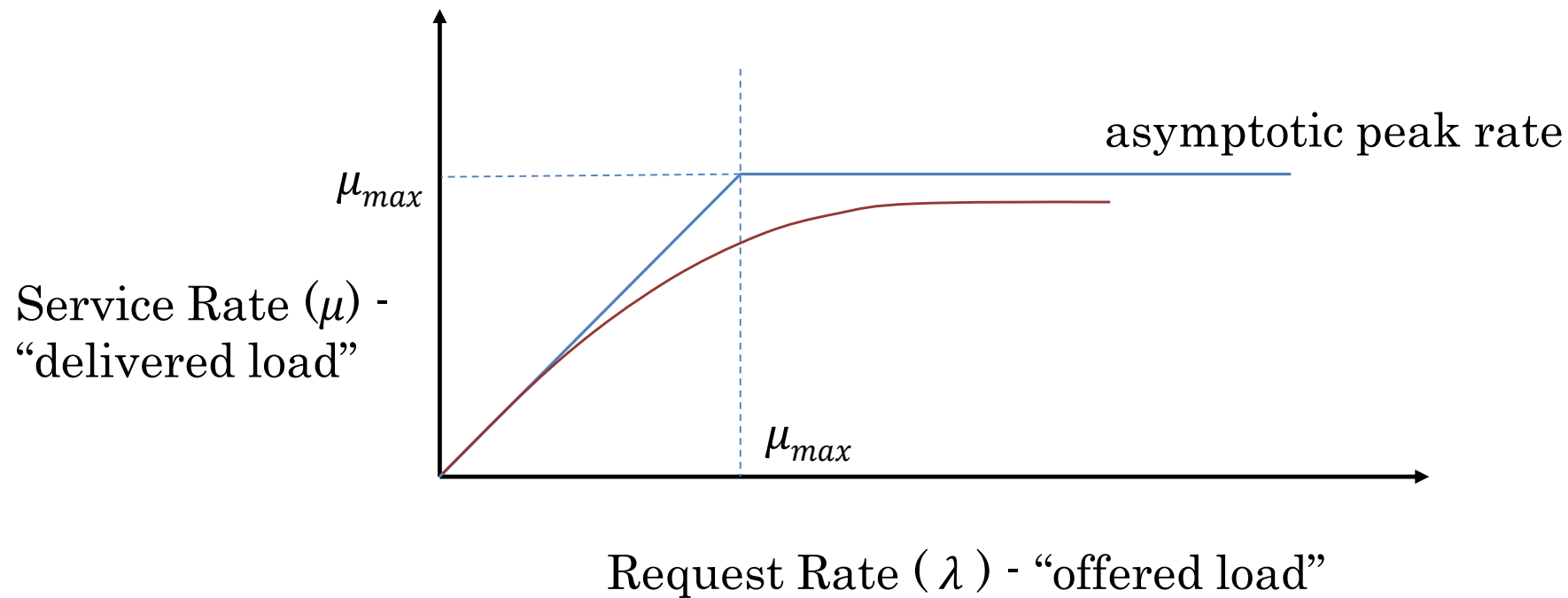


A Simple System Performance Model



Ideal System Performance

- How does μ (service rate) vary with λ (request rate)?



A Simple System Performance Model

Latency (L)

Request Rate: λ

Queuing delay: d

Operation Time: t

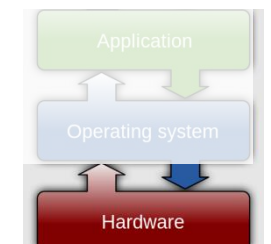
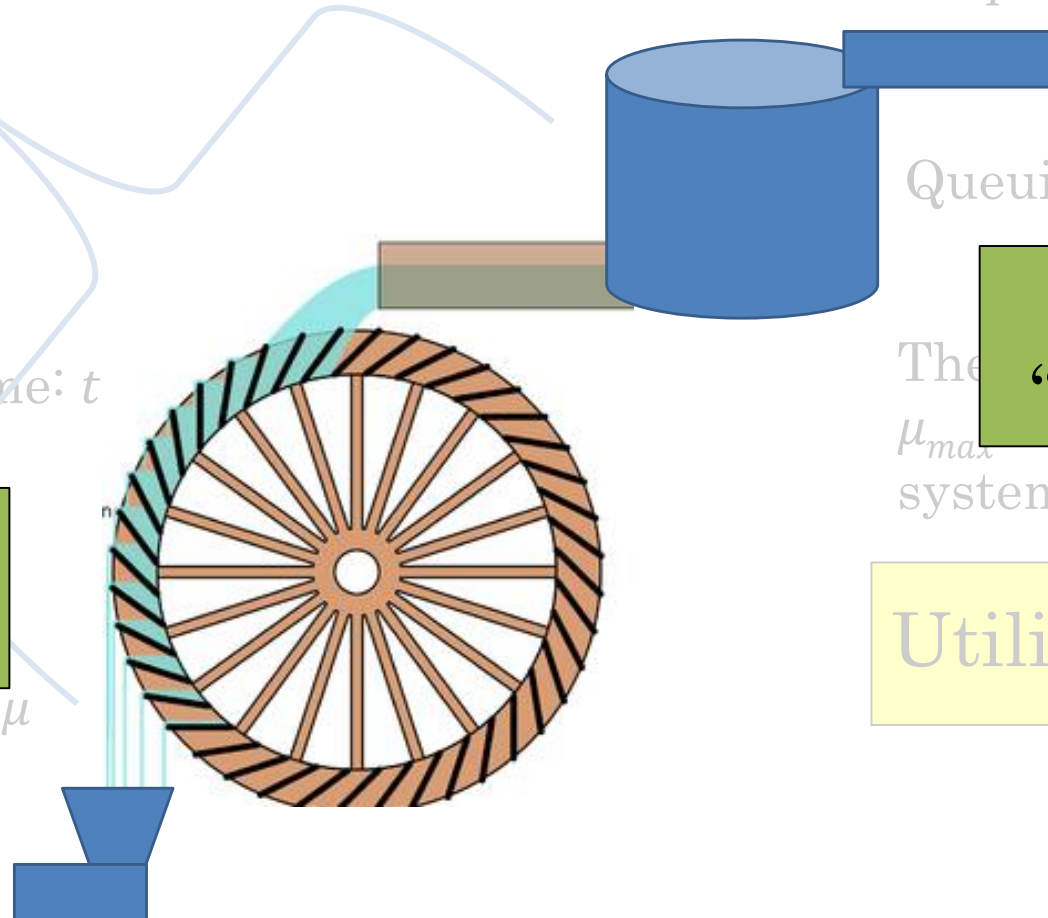
What determines μ_{max} ?

Service Rate: μ

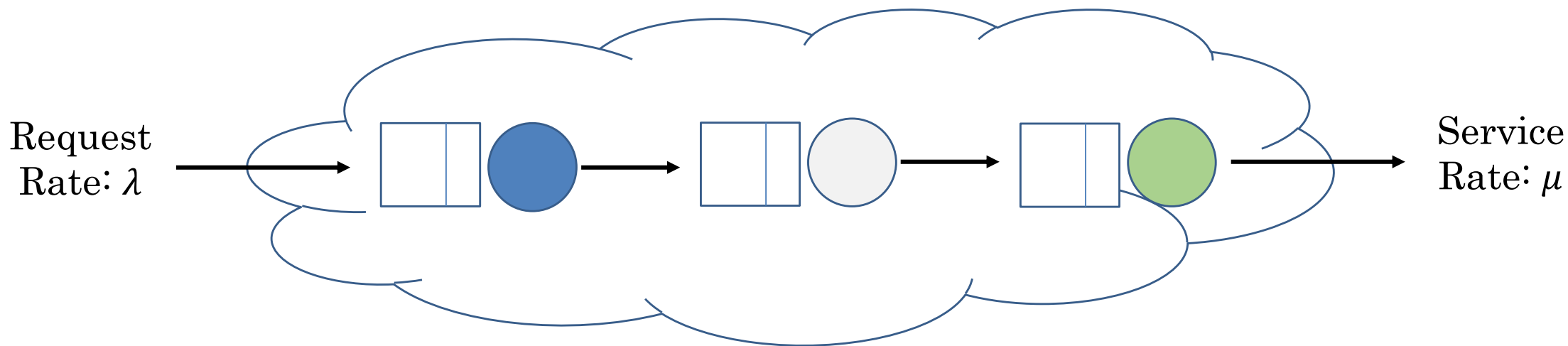
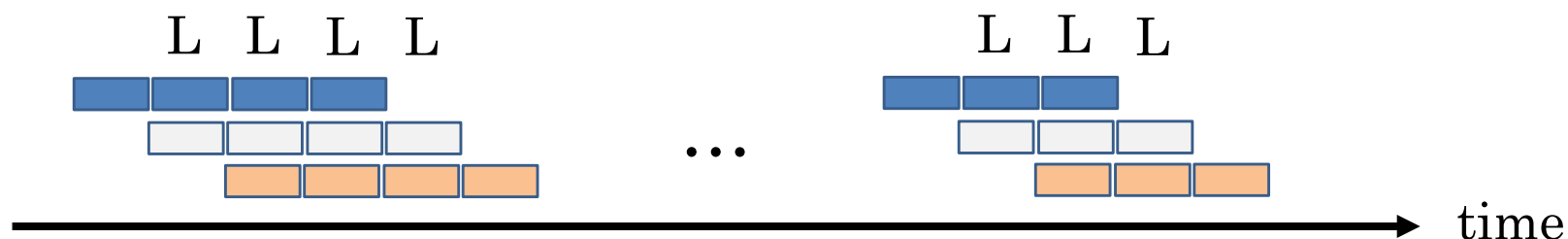
What about “internal” queues?

The μ_{max} of a system – the “bottleneck”

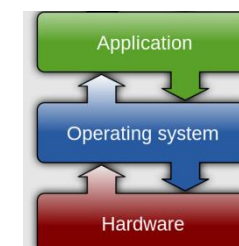
$$\text{Utilization: } \rho = \frac{\lambda}{\mu_{max}}$$



Bottleneck Analysis

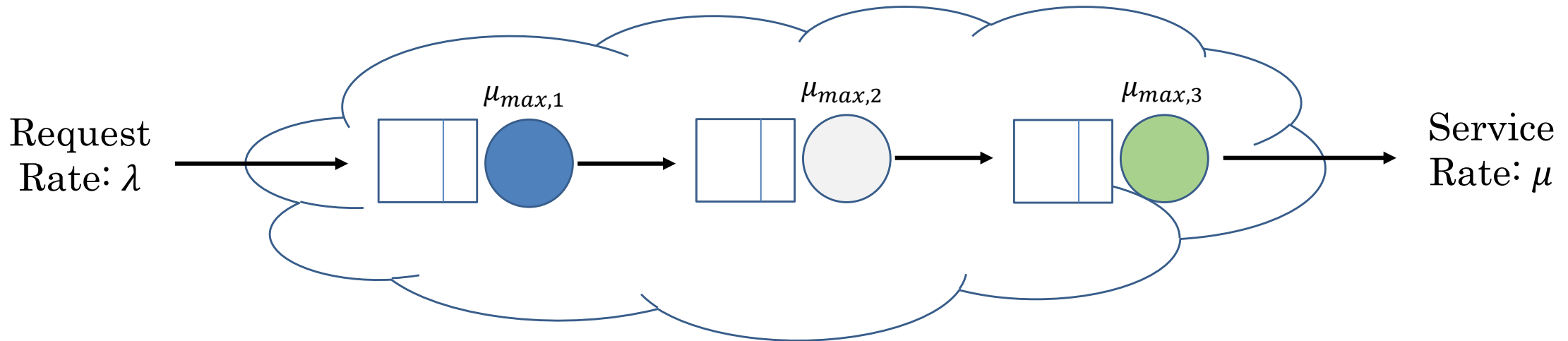


Overall System: Series of Stages

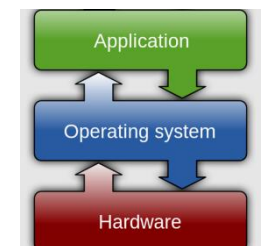


Bottleneck Analysis

- Each stage has its own queue and maximum service rate
- Suppose the green stage is the bottleneck

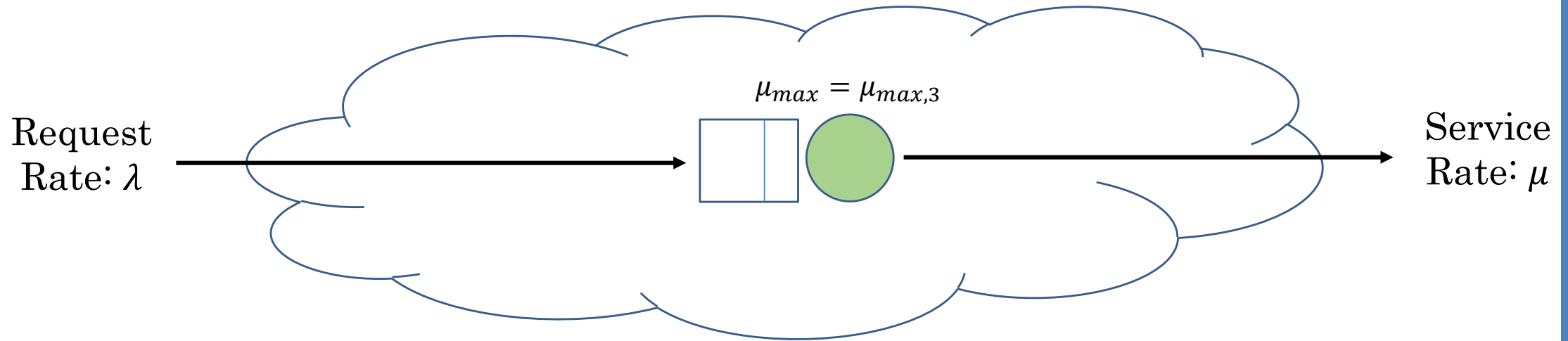


Overall System: Series of Stages

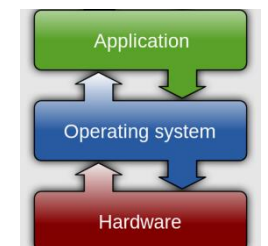


Bottleneck Analysis

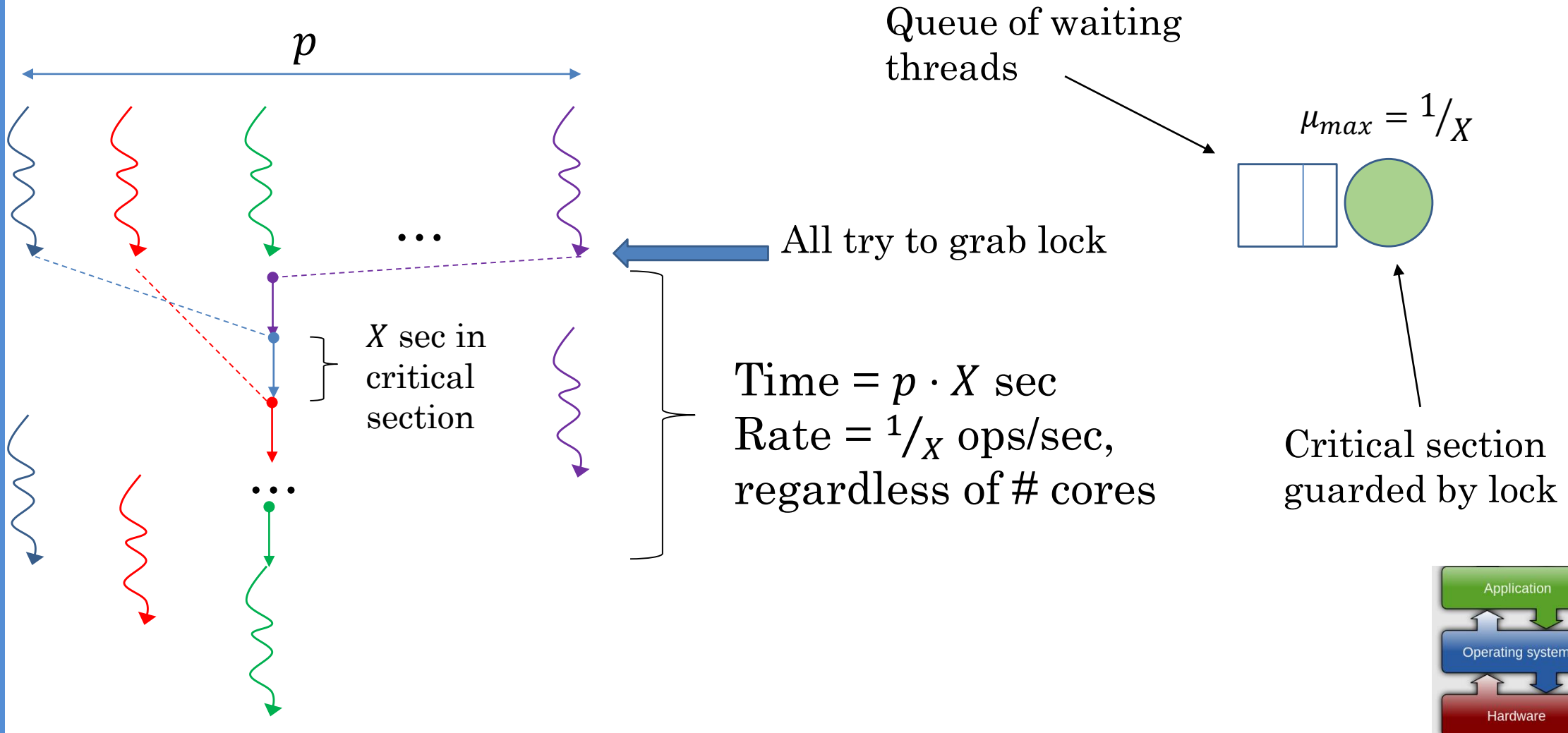
- Each stage has its own queue and maximum service rate
- Suppose the green stage is the bottleneck
- The bottleneck stage dictates the maximum service rate μ_{max}



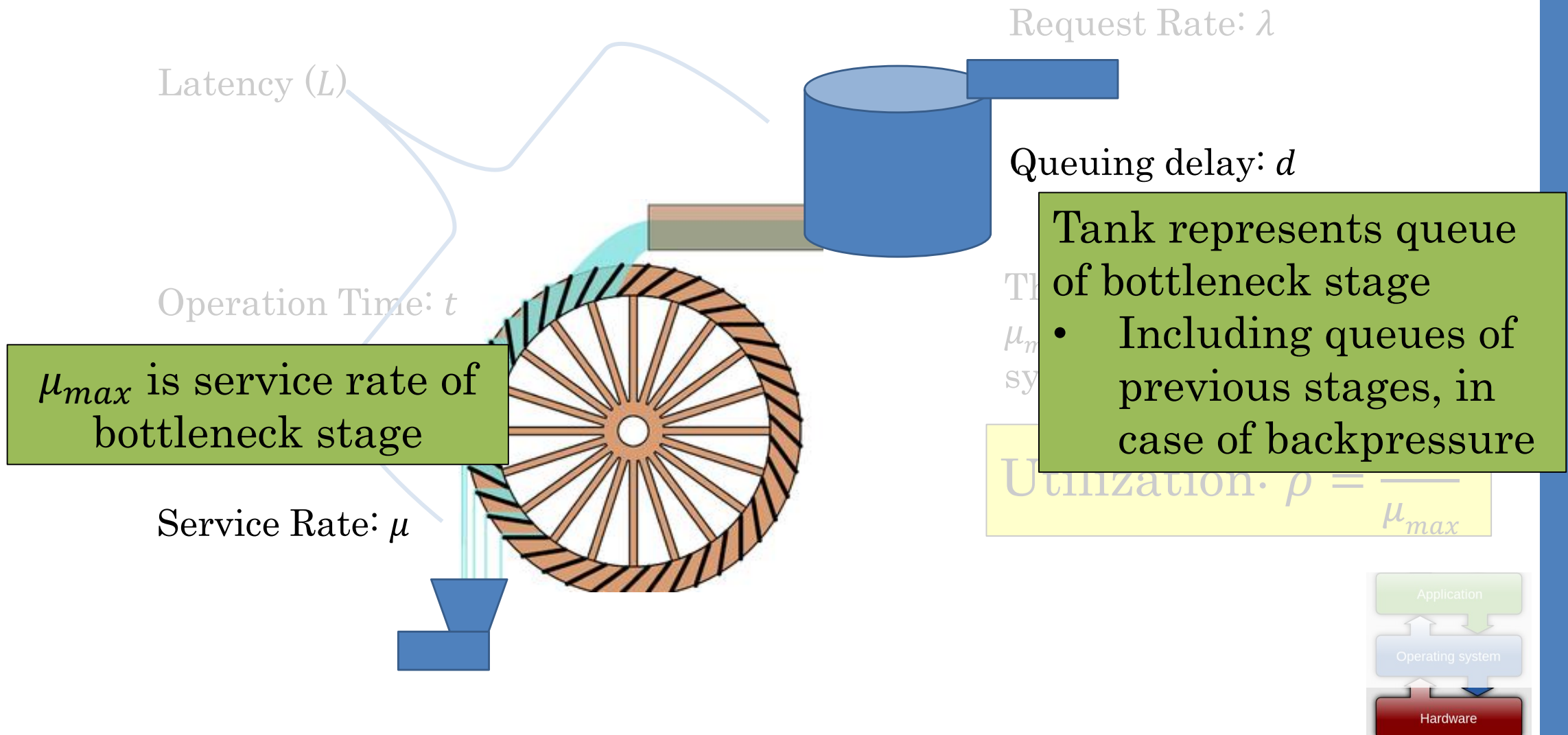
System Model: Bottleneck Stage



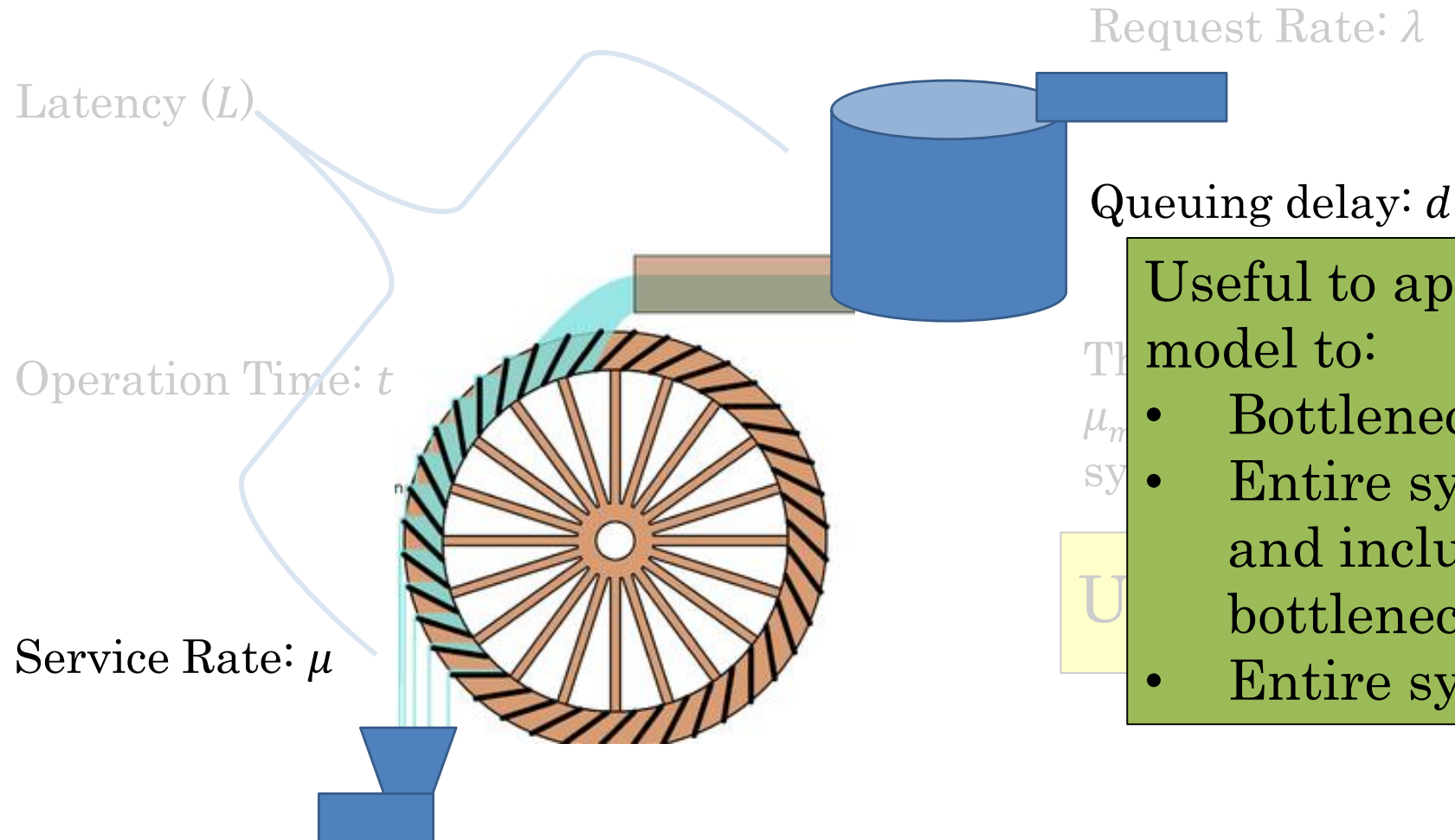
Example: Servicing a Highly Contended Lock



A Simple System Performance Model

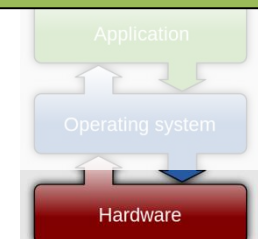


A Simple System Performance Model



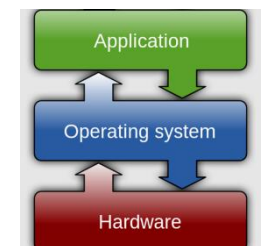
Useful to apply this model to:

- Bottleneck stage
- Entire system up to and including bottleneck stage
- Entire system



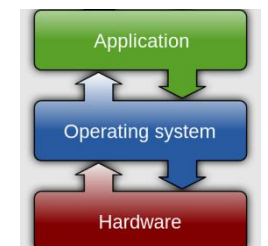
Announcements

- Assignment 2 due today
 - Let me know if you have trouble pushing to your repository
 - If auto-grader fails even if locally all is well – attach screenshot to submission
- Project 1 due today as well
 - Let me know if you need more time

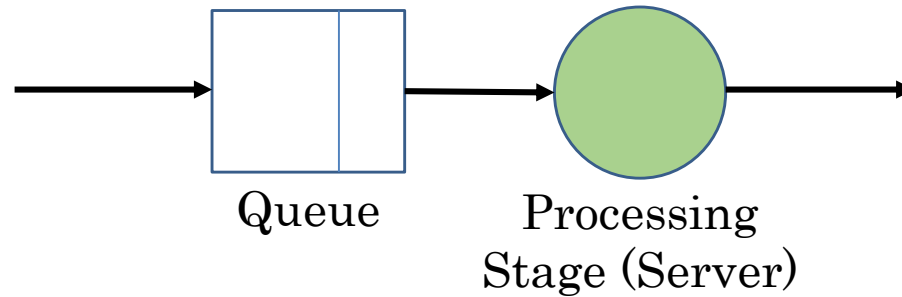


Rest of Today's Lecture

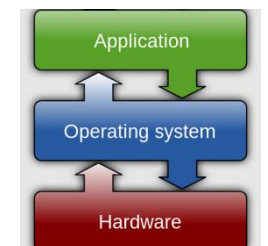
- Using this system model, we will:
 - Explore latency in more depth
 - Discuss how to build systems that perform well under load



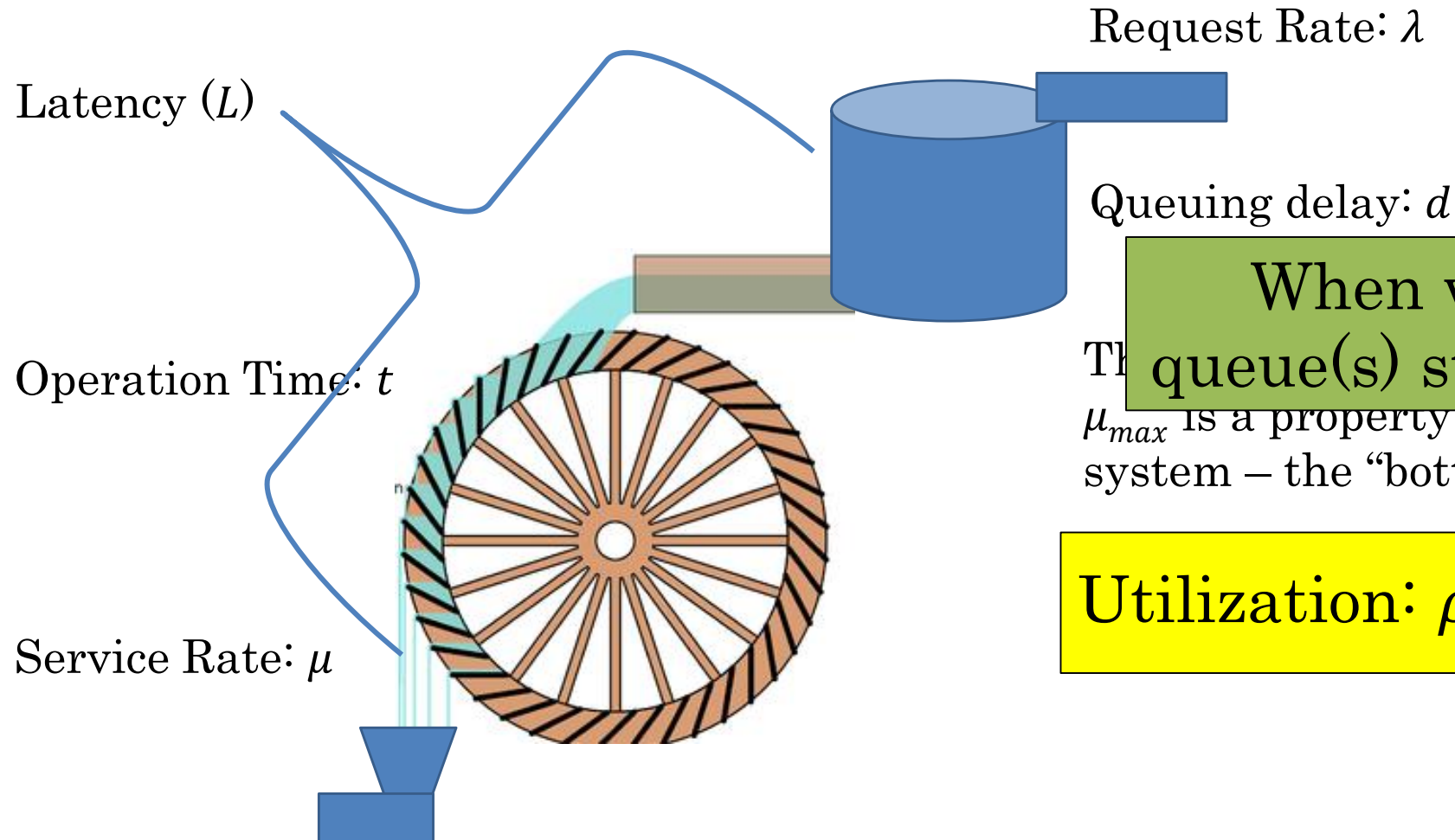
Latency (Response Time)



- Total latency (response time): queuing time + service time
- Service time depends on the underlying operation
 - For CPU stage, how much computation
 - For I/O stage, characteristics of the hardware
- What about the queuing time?

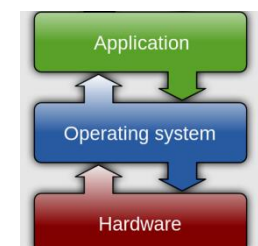


A Simple System Performance Model



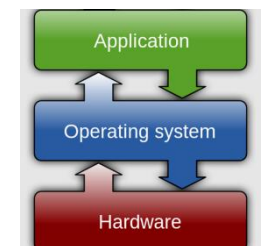
When will the queue(s) start to fill?
The μ_{max} is a property of the system – the “bottleneck”

$$\text{Utilization: } \rho = \frac{\lambda}{\mu_{max}}$$



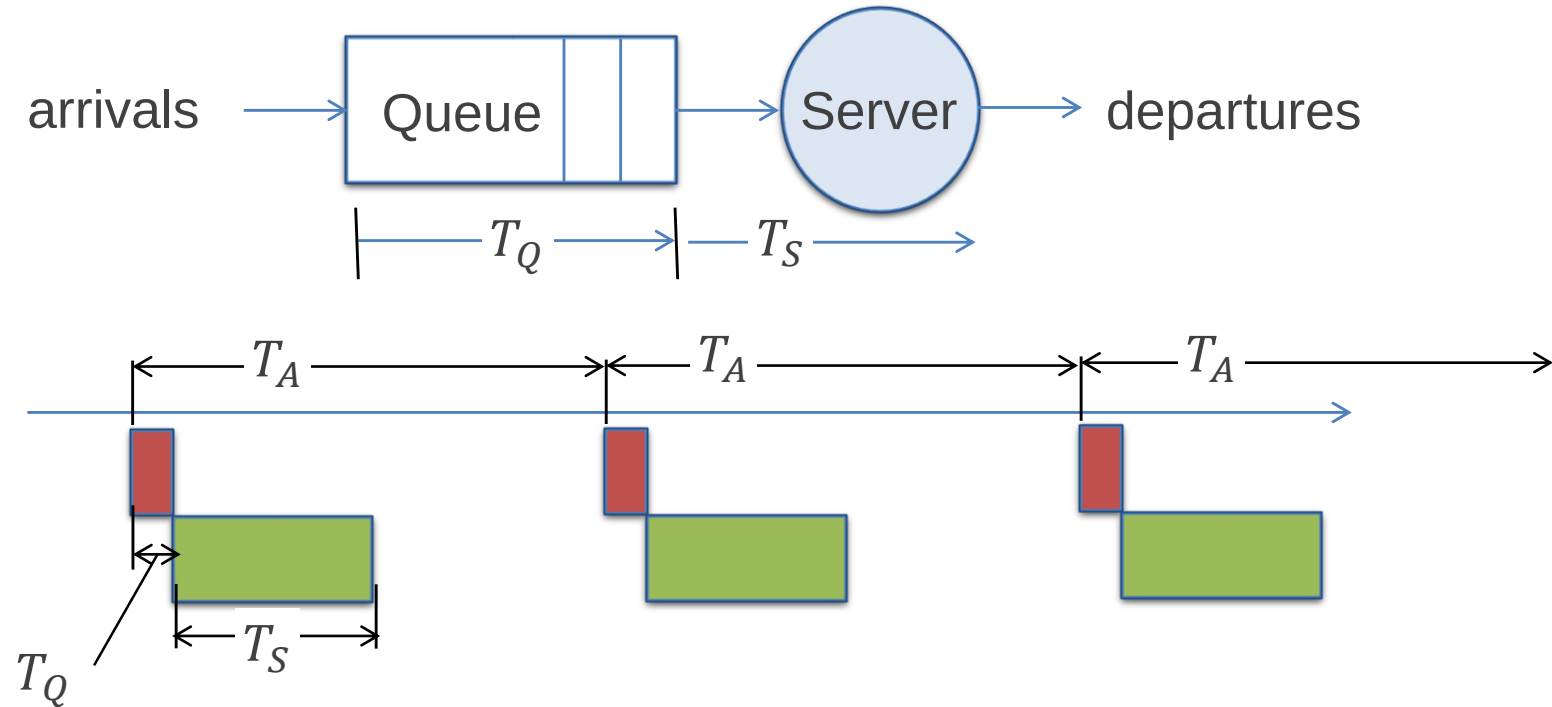
Queuing

- What happens when request rate (λ) exceeds max service rate (μ_{max})?
- Short bursts can be absorbed by the queue
 - If on average $\lambda < \mu$, it will drain eventually
- Prolonged $\lambda > \mu \rightarrow$ queue will grow without bound

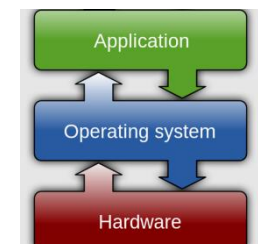


A Simple, Deterministic World

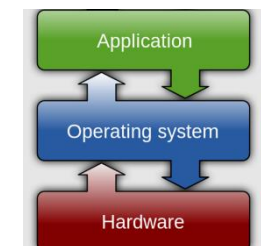
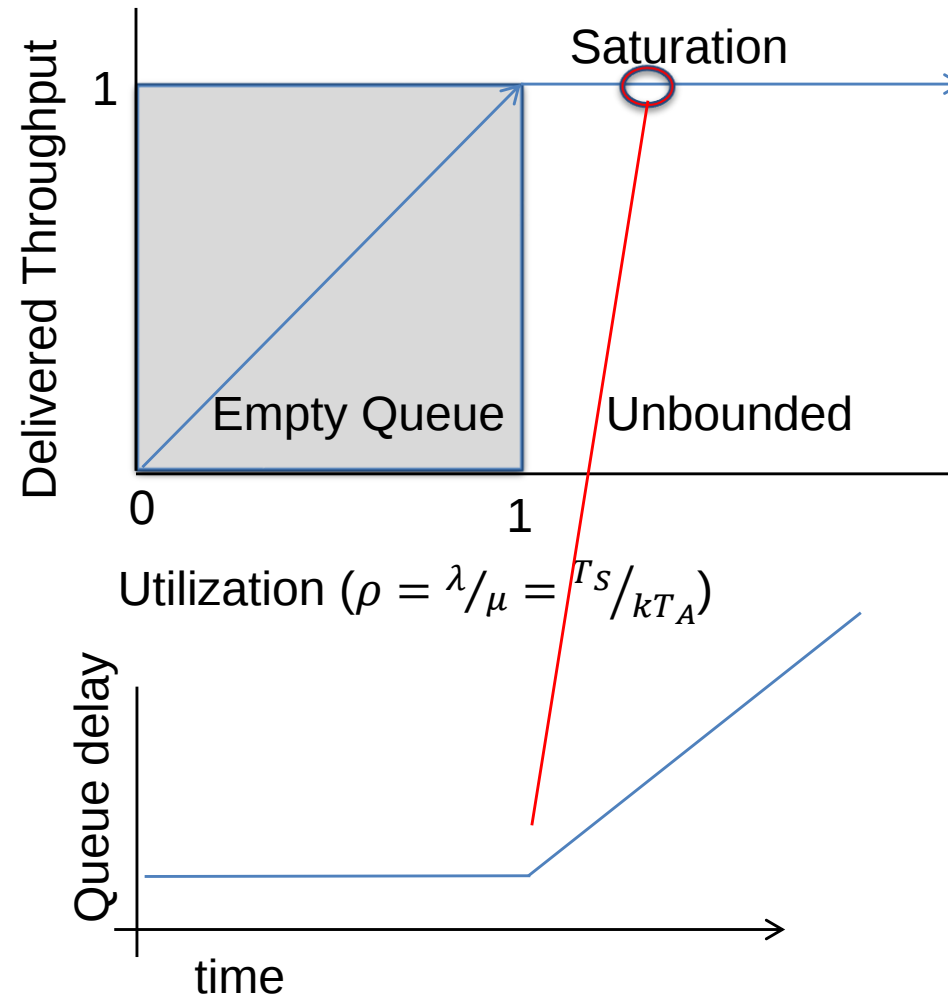
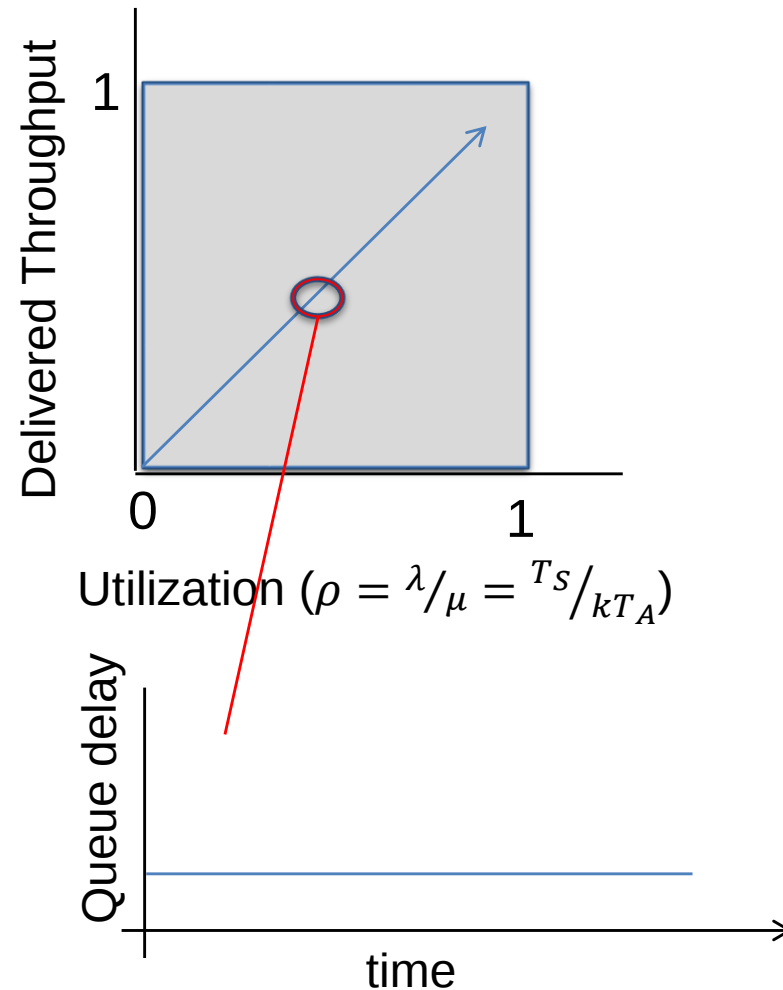
- T_A : time between arrivals
 - $\lambda = 1/T_A$
- T_S : service time
 - $\mu = 1/T_S$
- T_Q : queuing time
 - $L = T_Q + T_S$



- Assume requests arrive at regular intervals, take a fixed time to process, with plenty of time between ...

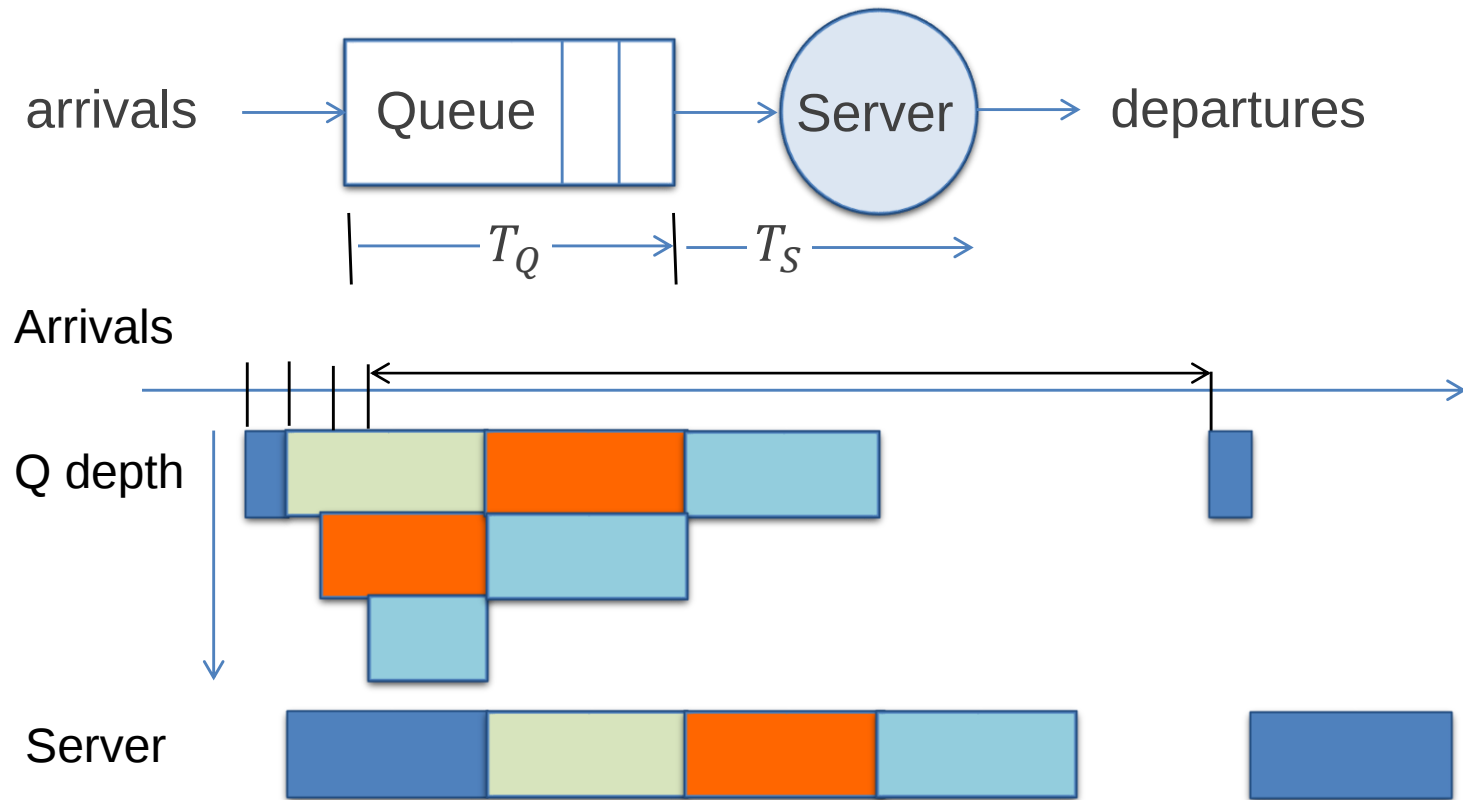


A Simple, Deterministic World

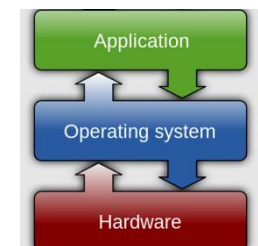


A Bursty World

- T_A : time between arrivals
 - Now, a **random variable**
- T_S : service time
 - $\mu = k/T_S$
- T_Q : queuing time
 - $L = T_Q + T_S$



- Requests arrive in a burst, must queue up until served
- Same average arrival time, but almost all of the requests experience large queue delays (even though average utilization is low – $T_S/T_A \ll 1$)



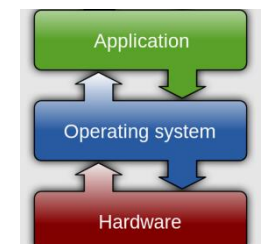
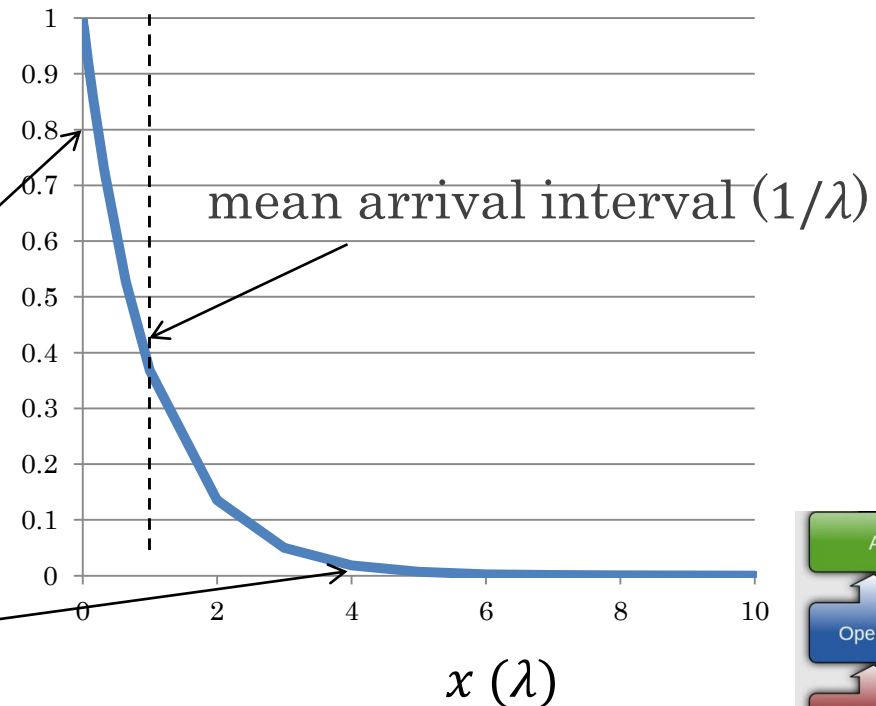
How to model Burstiness of Arrival?

- T_A , the time between arrivals, is now a random variable
 - Elegant mathematical framework if we model it as an exponential distribution
 - Probability distribution function of an exponential distribution with parameter λ is $f(x) = \lambda e^{-\lambda x}$

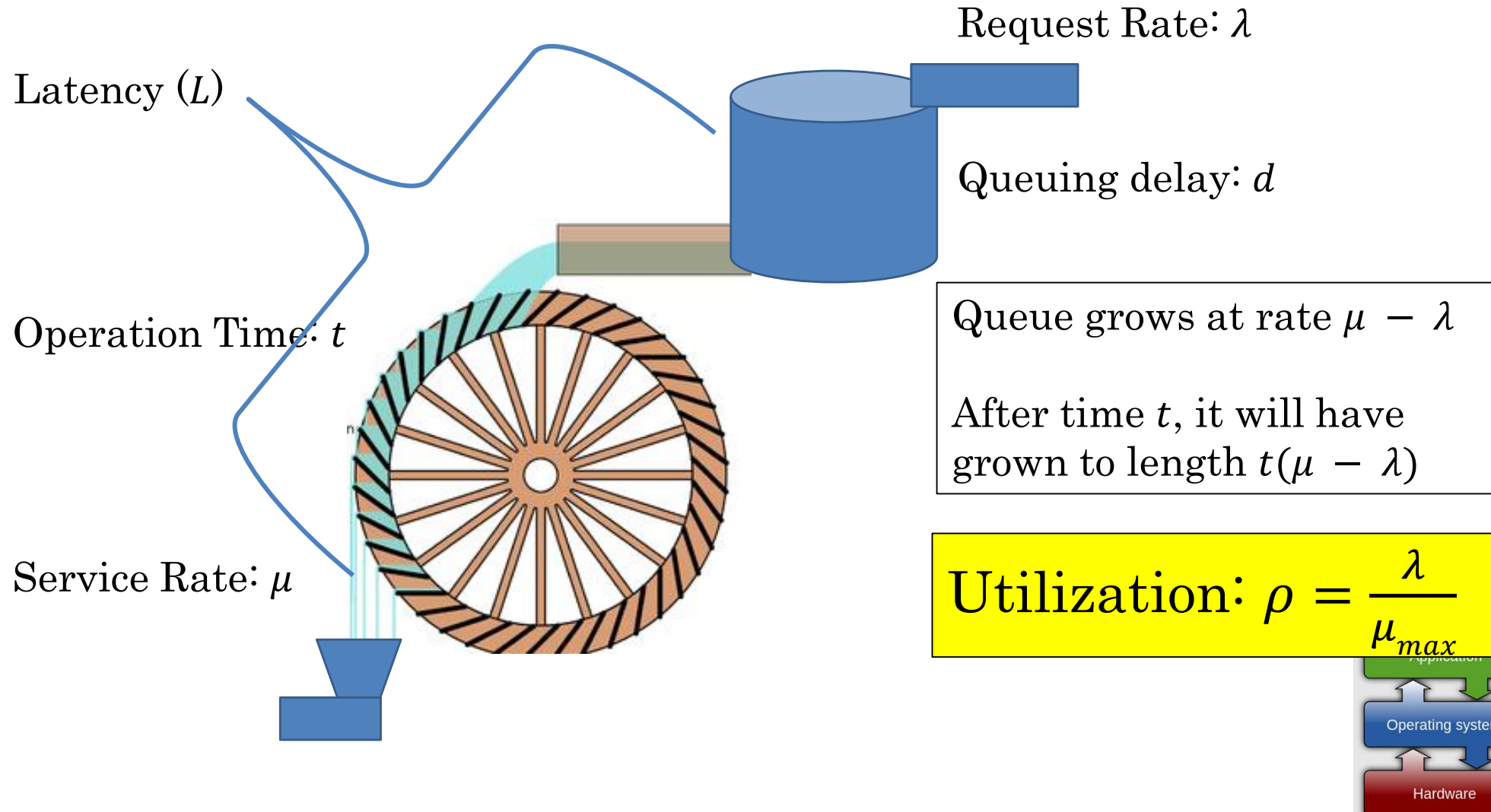
“Memoryless”: Likelihood of an event occurring is independent of how long we’ve been waiting

Lots of short arrival intervals (i.e., high instantaneous rate)

Few long gaps (i.e., low instantaneous rate)

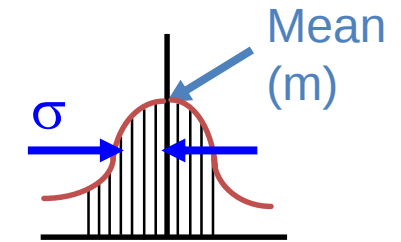


A Simple System Performance Model

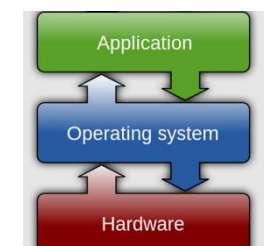
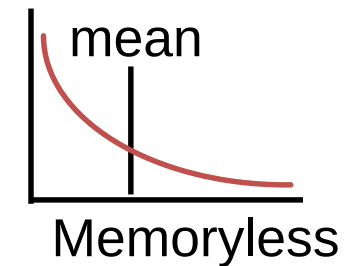


Background: Random Distributions

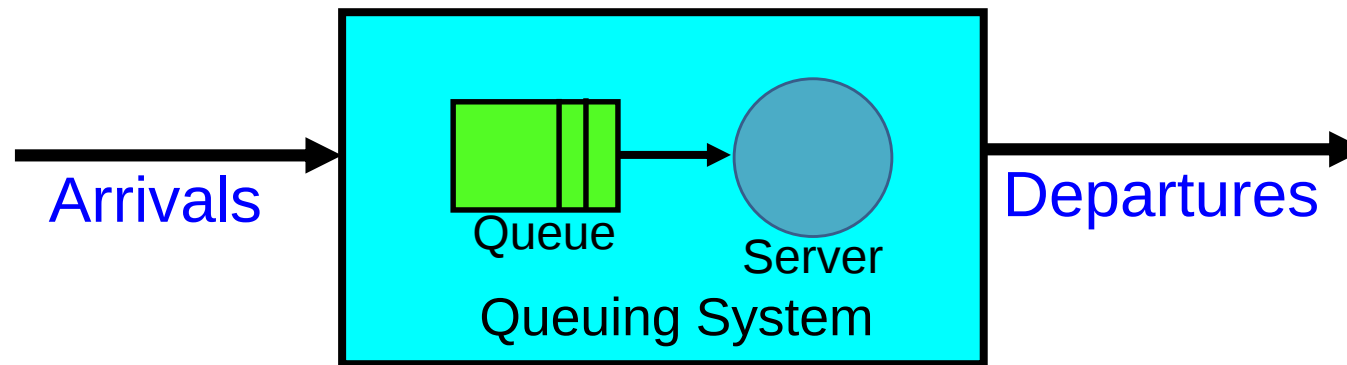
- Server spends variable time (T) with customers
 - Mean (Average): $m = \sum p(T) \cdot T$
 - Variance (stddev2): $\sigma^2 = \sum p(T) \cdot (T - m)^2$
 - Squared coefficient of variance: $C = \sigma^2 / m^2$
- Important values of C :
 - No variance or deterministic $\Rightarrow C = 0$
 - “Memoryless” or exponential $\Rightarrow C = 1$
 - Past tells nothing about future
 - Poisson process – ‘purely’ or ‘completely’ random process
 - Many complex systems (or aggregates) are well described as memoryless



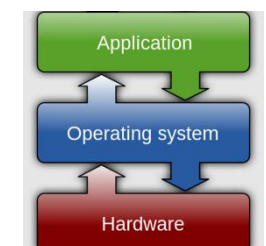
Distribution of service times



Introduction to Queuing Theory

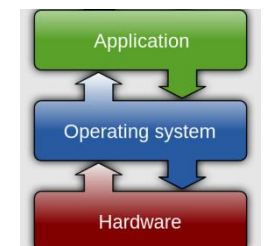


- Queuing Theory applies to long term, steady state behavior
 - Arrival rate = Departure rate ($\lambda = \mu$)
- Arrivals characterized by some probabilistic distribution
- Departures characterized by some probabilistic distribution



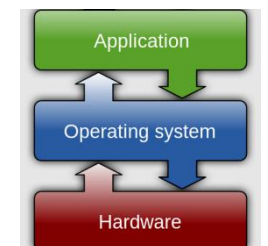
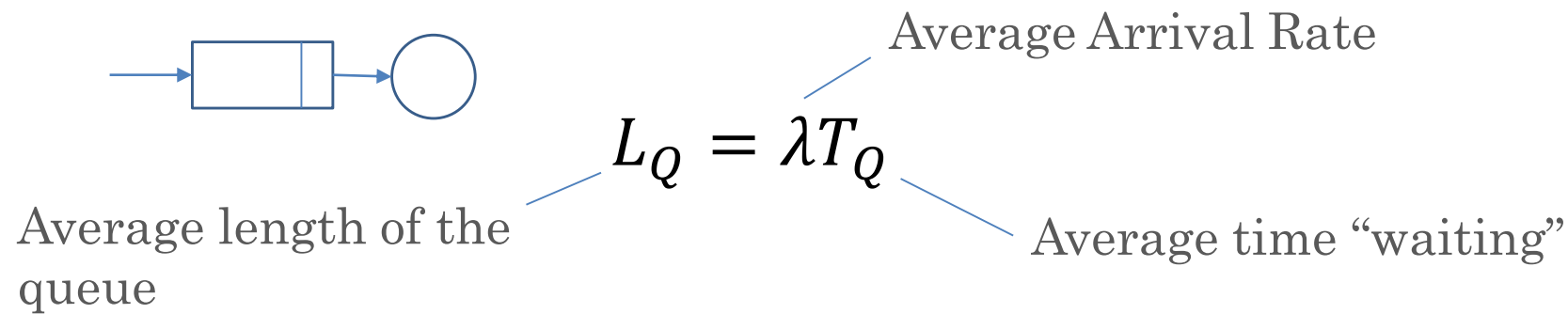
Our Goals with Queuing Theory

- We wish to compute:
 - T_Q : Time spent in queue
 - L_Q : Length of the queue



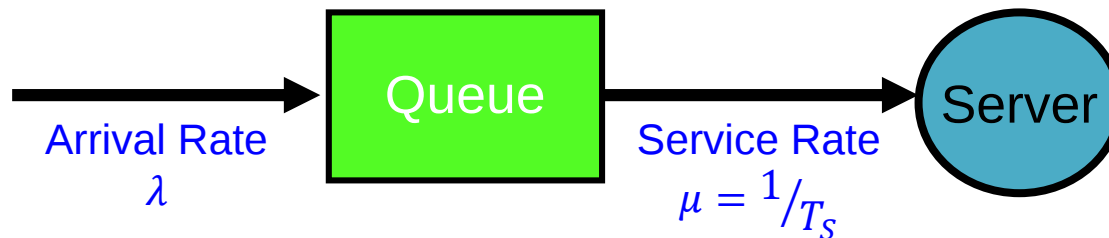
Little's Law Applied to a Queue

- Before, we had $n = LB$ (for a stable system):
 - B : bandwidth
 - L : latency
 - n : number of operations in the system
- When applied to a queue, we get:

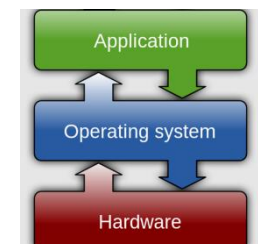


Some Results from Queuing Theory

- Assumptions: system in equilibrium, no limit to the queue, time between successive arrivals is random and memoryless



- λ : arrival rate
- T_s : mean time to service a customer
- C : squared coefficient of variance (σ^2/T_s^2)
- μ : service rate ($1/T_s$)
- ρ : utilization (λ/μ)



Some Results from Queuing Theory

- Memoryless service distribution ($C = 1$) - an “M/M/1 queue”:

$$T_Q = \frac{\rho}{1 - \rho} \cdot T_S$$

- General service distribution (no restrictions) - an “M/G/1 queue”:

$$T_Q = \frac{1 + C}{2} \cdot \frac{\rho}{1 - \rho} \cdot T_S$$

- λ : arrival rate
- T_S : mean time to service a customer
- C : squared coefficient of variance (σ^2/T_S^2)

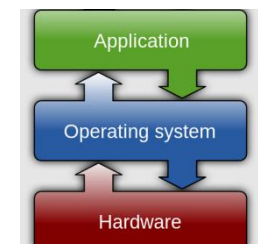
- μ : service rate ($1/T_S$)
- ρ : utilization (λ/μ)

M/M/1:

Input: Markovian (Poisson)
Output: Markovian (Poisson)
Number of servers: 1

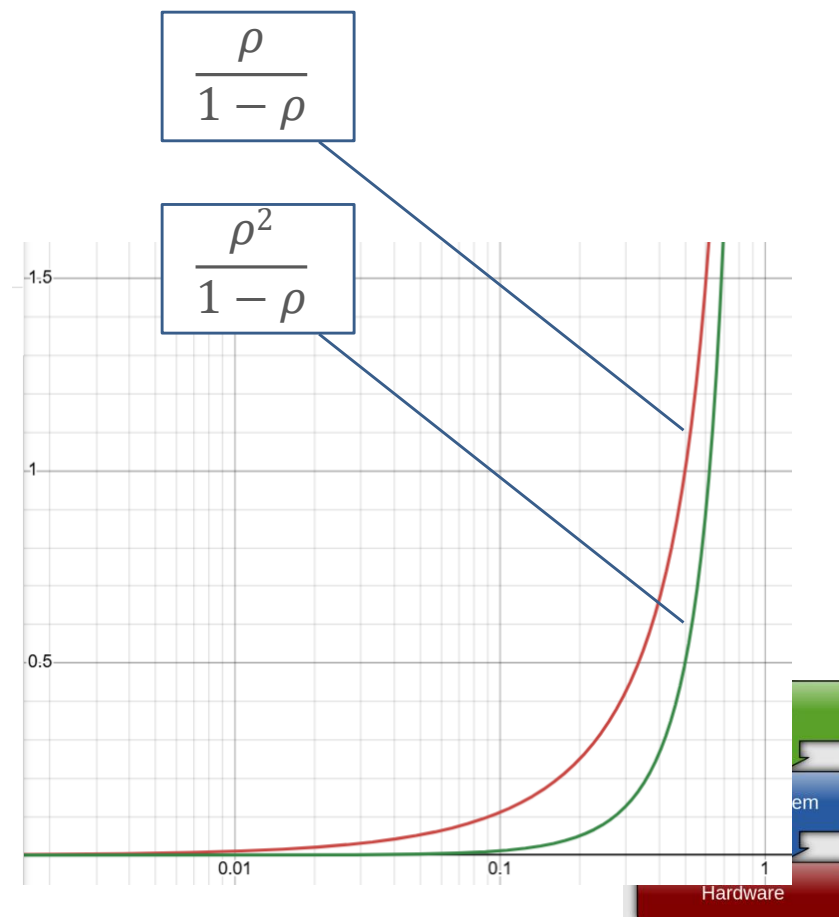
M/G/1:

Input: Markovian (Poisson)
Output: General distribution
Number of servers: 1

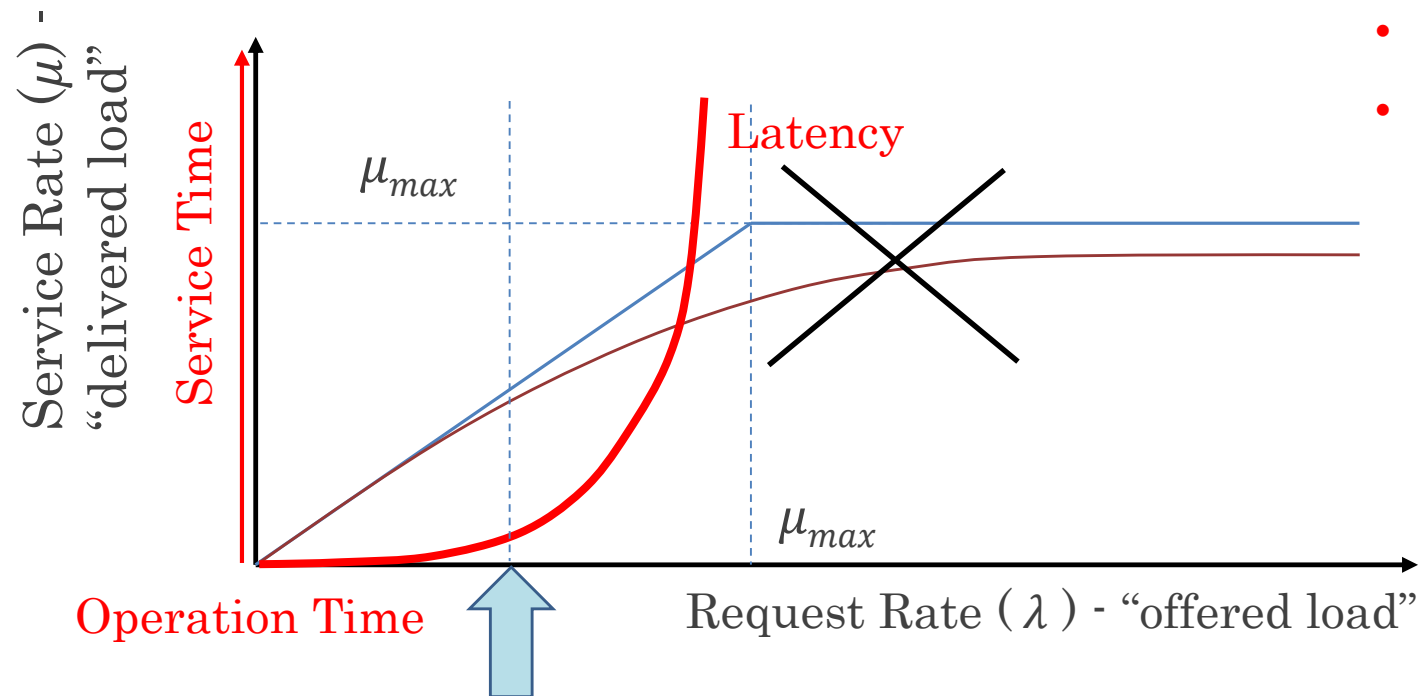


Key Results from Queuing Theory

- $T_Q = \frac{\rho}{1-\rho} \cdot T_S$ (memoryless service distribution)
- $L_Q = \lambda T_Q$ (by Little's Law)
- Utilization is $\rho = \lambda / \mu_{max} = \lambda T_S$, so
- $L_Q = \lambda T_Q = \frac{\rho}{T_S} \cdot T_Q = \frac{\rho^2}{1-\rho}$ (for a single server)



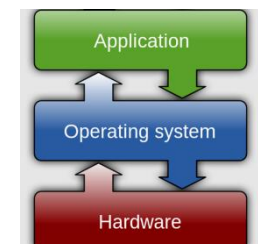
Ideal System Performance



- $T_Q \sim \frac{\rho}{1-\rho}$, $\rho = \lambda/\mu_{max}$
- Why does latency blow up as we approach 100% utilization?
 - Queue builds up on each burst
 - But very rarely (or never) gets a chance to drain

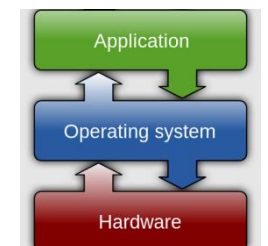
“Half-Power Point”: load at which system delivers half of peak performance

- Design and provision systems to operate roughly in this regime
- Latency low and predictable, utilization good: ~50%

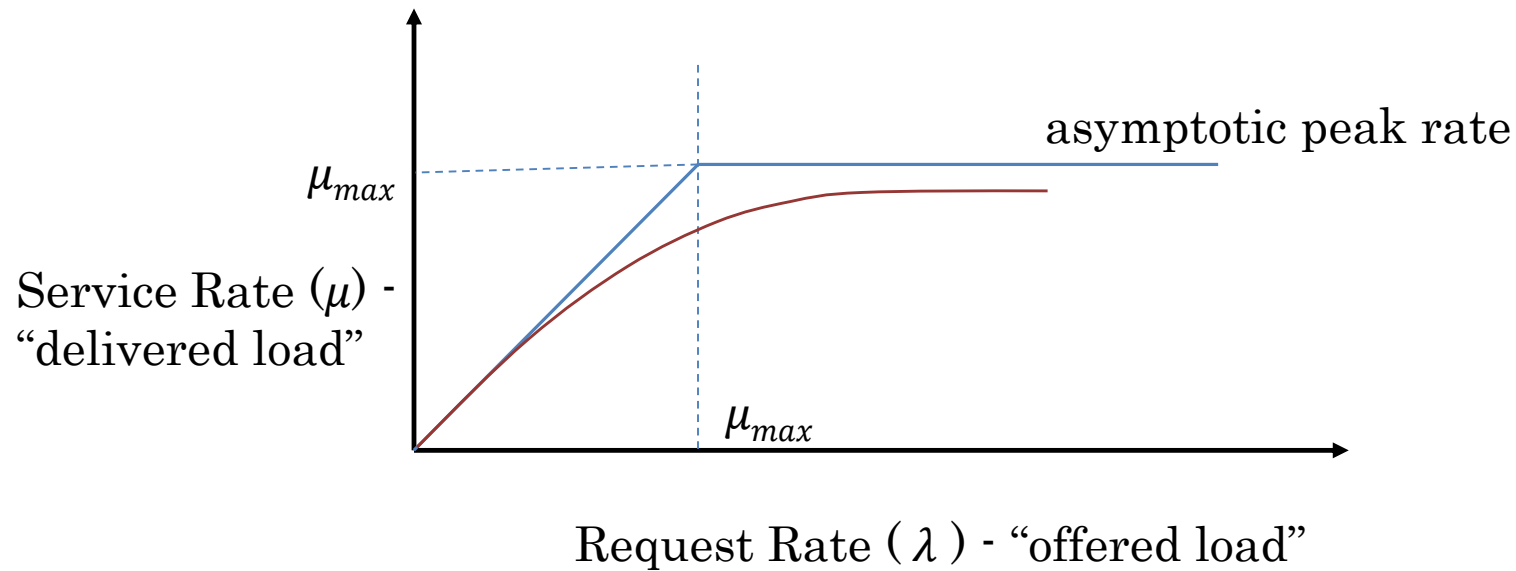


Rest of Today's Lecture

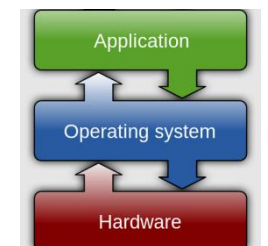
- Using this system model, we will:
 - Explore latency in more depth
 - Discuss how to build systems that perform well under load



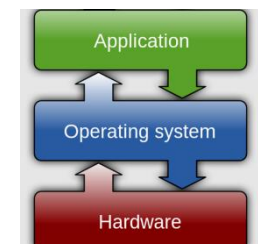
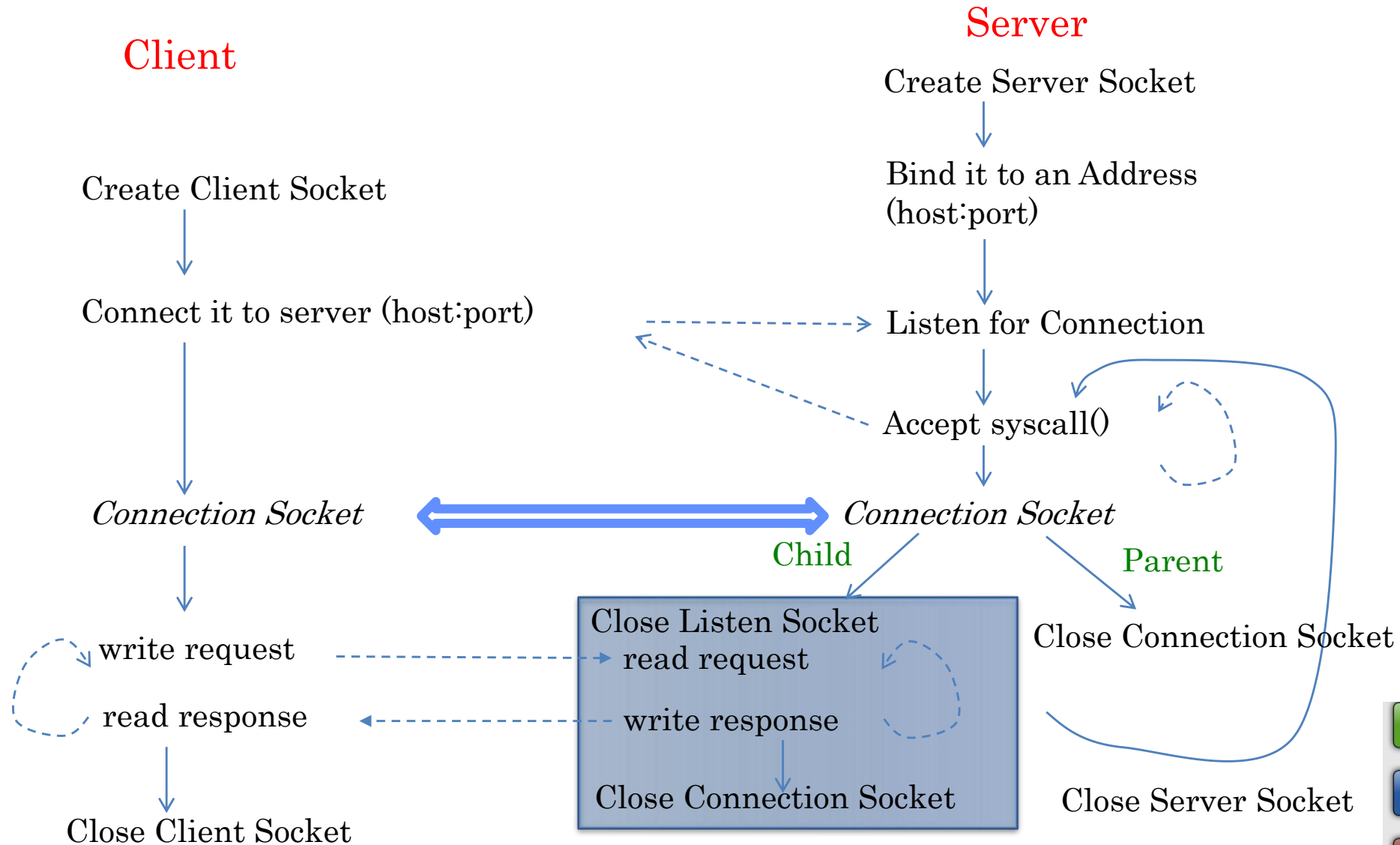
Ideal System Performance



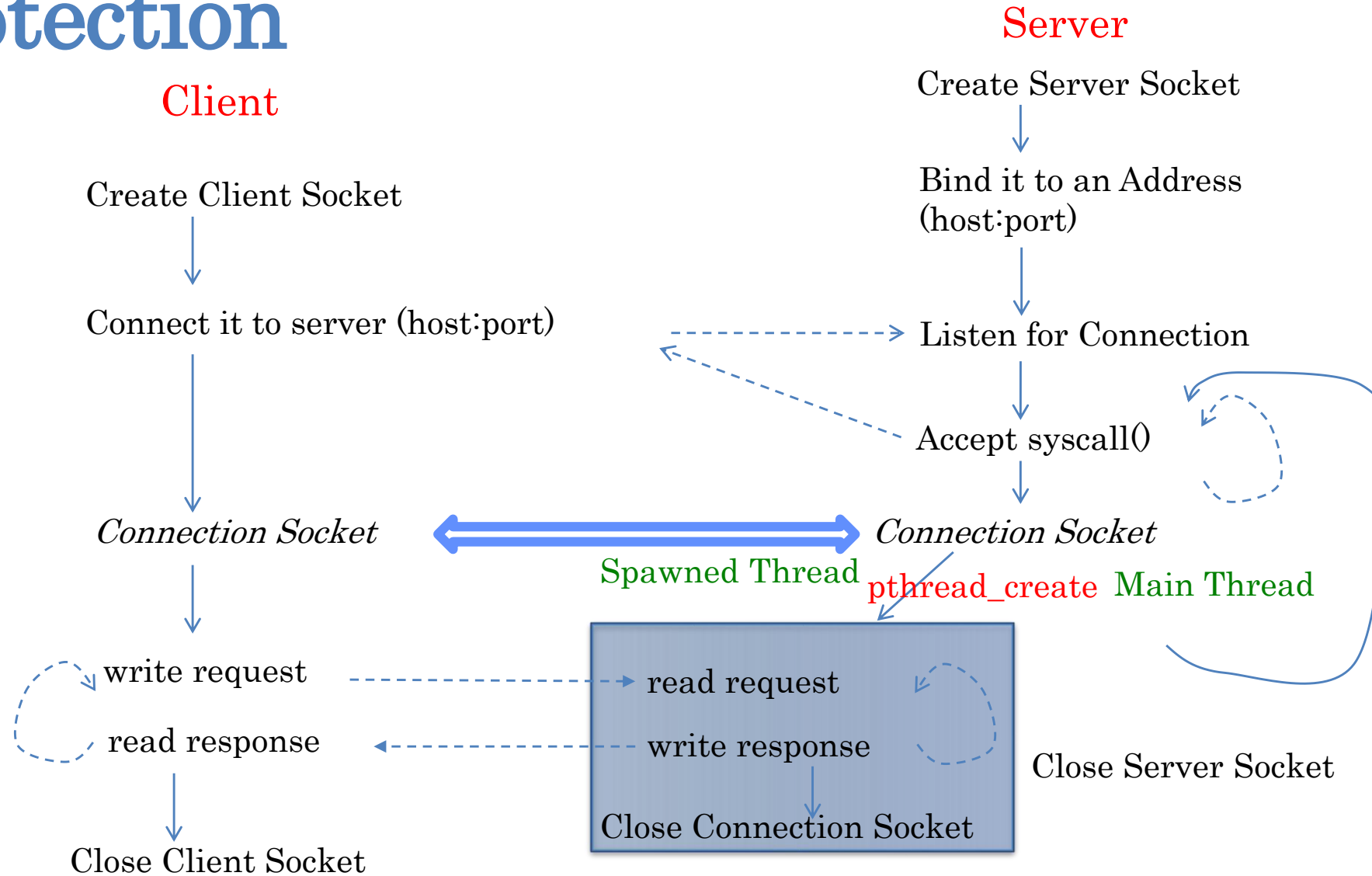
- A system that behaves this way is **well-conditioned**
 - Delivered load increases with offered load until pipeline saturates
 - As offered load increases further, throughput remains high



Sockets with Protection and Concurrency



Sockets with Concurrency, without Protection



Non-Well-Conditioned Systems

- A server that spawns a new pthread per request is not well-conditioned!

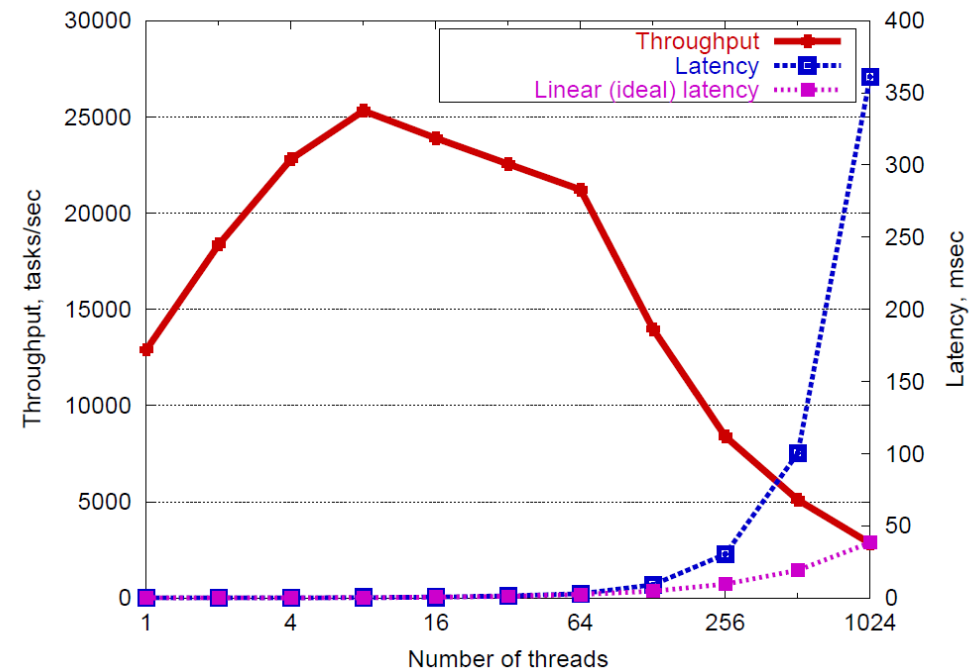
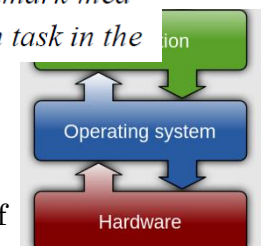
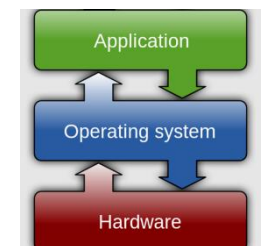


Figure 2: **Threaded server throughput degradation:** *This benchmark measures a simple threaded server which creates a single thread for each task in the*



Building Well-Conditioned Systems

- Spawning a new thread or process for each request is not well-conditioned
- Too many threads is bad
 - Scheduling overhead becomes large
 - Context switch overhead becomes large
 - E.g., Poor cache performance
 - Synchronization overhead becomes large
 - E.g., Lock contention
- Was our original (v1) server well-conditioned?
 - The one that handles requests one at a time, with no concurrency?
 - Hint: yes!



Building Well-Conditioned Systems

- Thread Pools
 - User-Mode Threads
 - Event-Driven Execution
-
- We'll discuss these next time...

